

TRADE DATA AMENDMENT

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Trade Data Amendment

If the Trading Member(TM) desire to correct a deal that has been executed by mistake, he should check with the Market Operations Division of the DFM Exchange to correct the trade that occurred in the Investor's account and fill out the (Trade Data Amendment Request) form to amend the transaction through Electronic Service System before 03:00PM) without fees.

However, if the error discovered after the Exchange cut-off deadline for amendments, the TM may submit a trade amendment request through his Clearing Member (CM). The CM shall complete the same form through the Electronic Service System within two days from the date of trading (T + 1) with the payment of the correction fees. Dubai Clear will amend the investor account in the Electronic Depository system Equator. The below documents should be submitted:

1. An official letter from the CM mentioning the details of the trade to be amended.
2. Copy of transaction details summary.
3. Fees (0.003) of the price at which the transaction was executed, and a minimum of AED 100.

Note:

- The Trade Correction request will be amended from (T+0) after the trading session until (T+2) before the settlement of the securities.